

Kartavya Udyog Viniyog Limited

(a. Udyog Viniyog Limited)

196C, C. R. Avenue

Kolkata - 700 007

Phone : +91 33 3262 7145

E-mail : info@kartavya.info

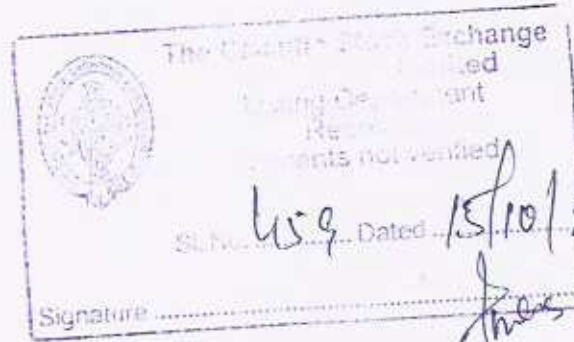
Web : www.kartavya.info

CIN : L65910WB1981PLC033821

Date: 14/10/2014

The Secretary
Calcutta Stock Exchange Limited
7, Lyons Range,
Kolkata-700001

Dear Sir,



Sub: Submission of Documents in Compliance with Listing Agreement Clauses

Please find enclosed Quarterly Compliance Report on Corporate Governance under Clause 49 of Listing Agreement for the quarter ended 30th September, 2014.

Thanking you,

Yours Faithfully,

KARTAVYA UDYOG VINIYOG LIMITED
Kartavya Udyog Viniyog Ltd.

Director/Authorised Signatory
PRIYA KEDIA

(Company Secretary)

Kartavya Udyog Viniyog Limited

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QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE UNDER CLAUSE 49 OF THE LISTING AGREEMENT

| SL.NO | PARTICULARS | CLAUSE OF THE LISTING AGREEMENT | COMPLIANCE STATUS (YES /NO/N/A) | REMARKS |
|-------|---------------------------------------------------|---------------------------------|---------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| I | BOARD OF DIRECTORS | <u>49(I)</u> | | |
| A | COMPOSITION OF BOARD | 49(IA) | YES | - |
| B | NON EXECUTIVE DIRECTORS COMPENSATION & DISCLOSURE | 49(IB) | NA | - |
| C | OTHER PROVISIONS AS TO BOARD AND COMMITTEES | 49(IC) | YES | Kartavya Boards meets atleast 4 times a year. |
| D | CODE OF CONDUCT | 49(ID) | YES | Kartavya has put in place a Code of Conduct for Directors & Senior Management Personnel and Declaration, as necessary, has been made in the Annual Report for the Financial Year 2013 -14. The aforesaid Code is also hosted on the website of the company. |
| | AUDIT COMMITTEE | <u>49(II)</u> | | Kartavya has an independent Audit Committee complying with all the requirements. |
| II | QUALIFIED AND INDEPENDENT AUDIT COMMITTEE | 49(IIA) | YES | |
| B | MEETING OF AUDIT COMMITTEE | 49(IIB) | YES | |
| C | POWERS OF AUDIT COMMITTEE | 49(IIC) | YES | |
| D | ROLE OF AUDIT COMMITTEE | 49(IID) | YES | |
| E | REVIEW OF INFORMATION BY AUDIT COMMITTEE | 49(IIE) | YES | |
| III | SUBSIDIARY COMPANIES | 49(III) | YES | The Company does not have any material non-listed Indian Subsidiary. |

Kartavya Udyog Viniyog Ltd.

Ranya Kedia
Director/Authorised Signatory

Kartavya Udyog Viniyog Limited

(Risk Udyog Viniyog Limited)

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| IV | DISCLOSURES | 49(IV) | | |
|-----------------------------|---------------------------------------------------------------------|---------|-----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CIN : L65910WB1981PLC033821 | | | | |
| A | BASIS OF RELATED PARTY TRANSACTION | 49(IVA) | YES | - |
| B | DISCLOSURES OF ACCOUNTING TREATMENT | 49(IVB) | YES | - |
| C | BOARD DISCLOSURES | 49(IVC) | YES | - |
| D | PROCEEDS FROM PUBLIC ISSUES, RIGHT ISSUES, PREFERENTIAL ISSUES ETC. | 49(IVD) | NA | - |
| E | REMUNERATION OF DIRECTORS | 49(IVE) | N.A | All the disclosure requirement relating to remuneration of Directors have been complied with. |
| F | MANAGEMENT | 49(IVF) | YES | Detailed Management Discussion and analysis Reports forms part of the respective years' Annual Report. |
| G | SHAREHOLDERS | 49(IVG) | YES | The requisite information to be provided to the shareholders under Clause 49(IV G) has been furnished in the Notice of the Annual General Meeting and in the Annual Report of the Company for the respective years. |
| V | CEO / CFO CERTIFICATION | 49(V) | YES | - |
| VI | REPORT ON CORPORATE GOVERNANCE | 49(VI) | YES | - |
| VII | COMPLIANCE | 49(VII) | YES | - |

Kartavya Udyog Viniyog Ltd.

Dated: 14th October, 2014

Signature: _____

Priya Kedia
Director/Authorized Signatory

Name : Priya Kedia

Designation: Company Secretary